

The Hague Code of Conduct on Ballistic Missile Proliferation

Workshop on How to Strengthen the Hague Code of Conduct

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- 1. Background: how it started
- 2. The HCOC content
- 3.Implementation and results achieved so far
- 4. Possible ways for improvement

1 - Background: how it started

- > Existing regimes are limited:
 - American-Soviet/Russian Treaties, 80s and early 90s, and INF, 1987
 bilateral
 - MTCR, 1987 > export: « supply » side
- > End 90s: renewed interest
 - North Korean missile overflies Japan (1998)
 - USA: re-launch of antimissile defence program
- > Several initiatives at international level to respond to increasing missile proliferation, recognized as a threat to international security



- ➤ Among them: ICoC (2000) by MTCR members
 - Adopting a complementary approach: "demand" side
 - Providing an universal character to the Code
 - Setting no formal link with MTCR: different philosophy
- ➤ 25th-26th November 2002: 93 countries signed HCOC (today: 130 subscribing states)
- ➤ The first multilateral instrument devoted to the non-proliferation of ballistic missiles.



Important recognition by 130 states (Preamble):

« the increasing of regional and global security challenges [is] caused, inter alia, by ongoing proliferation of ballistic missiles capable of delivering WMD ».

1. Set of general principles

- Need to prevent and curb the proliferation of BM strengthening existing multilateral disarmament and non proliferation mechanisms;
- Recognition that States *should not be excluded from using the benefits of space for peaceful purposes*, on the condition that space launch vehicles programmes are not used to conceal BM programmes;
- Need of *transparency* measures to enhance confidence among States.



2. Set of (modest, not legally binding) commitments

- To ratify, accede or otherwise abide by the three « classic » treaties on the use of Space;
- To exercise maximum possible restraint in the development, testing and deployment of BM and to reduce, where possible, national holdings of such missiles;
- To exercise the necessary vigilance in the consideration of the assistance to Space Launch Vehicle programs in other countries in order not to assist countries developing WMD.
- 3. (not exhaustive list of) transparency and confidence building measures
- Annual Declarations > BM policies and information
- Pre-Launching Notifications > launches and tests
- Invitations to visit land (test) launch sites



3 – Implementation and results achieved so far

> Implementation

- Commitments: difficult to verify and assess
- Transparency and CBMs:
 - ✓ Limited results for pre-launch notifications, about 20% of launches were pre-notified (in 2008).
 - ✓ Good result for Annual Declarations (in 2008).

> Final Result:

 Enhanced confidence, increased perception of security: Impossible to quantify and difficult to assess, still very important.



4 - Possible ways for improvement

> Critics to the Code:

- Scope (why not cruise missiles, UAVs?)
- Not legally binding nature (is it realistic to look for it?)
- Absence of means of verification (necessary? comparison with open sources!)
- Lack of incentives to adhere/ disincentives to develop BM
- Lack of institutional links to the UN

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4 - Possible ways for improvement

➤ Where is there room for improvement?

- Universalisation
 - ✓ Starting from bilateral/regional agreements?
 - ✓ Effectiveness to create incentives
 - ✓ Flexibility?
 - ✓ Promote UN recognition
 - **✓** Absence of linkage with the MTCR



4 - Possible ways for improvement

• Further development

- ✓ Scope
- ✓ Direct link to UN institutions
- Implementation of transparency measures
 - ✓ Annual Declarations: Lead by example; provide a standard format?
 - ✓ Pre-Launch Notifications: Lead by example, provide a standard format?
 Are they incentives or disincentives? Flexibility?



Conclusion

- ➤ HCOC is an important first step of a multilateral effort to curb proliferation of BM
 - > It has limits, some of them intrinsic

➤ It is a young tool and there is room for improvement... starting from its full implementation by subscribing states.